NYS Annual Filing for Charitable Organizations
www.CharitiesNYS.com

1. General Information

For Fiscal Year Beginning (mm/dd/yyyy) 03/01/2019 and Ending (mm/dd/yyyy) 02/29/2020

Check if Applicable: 
- Address Change
- Name Change
- Initial Filing
- Final Filing
- Amended Filing
- Reg ID Pending

Name of Organization: CARCINOID CANCER FOUNDATION

Mailing Address: 118 NO. BEDFORD RD, STE 100

City / State / Zip: MOUNT KISCO NY 10549

NY Registration Number: 01-26-02

Telephone: 914-683-1001

Website: WWW.CARCINOID.ORG

Check your organization's registration category:
- 7A only
- EPTL only
- DUAL (7A & EPTL)
- EXEMPT*

Confirm your Registration Category in the Charities Registry at www.CharitiesNYS.com.

2. Certification

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.

President or Authorized Officer: [Signature]
Print Name and Title: [ ]
Date: [ ]

Chief Financial Officer or Treasurer: [Signature]
Print Name and Title: [ ]
Date: [ ]

3. Annual Reporting Exemption

Check the exemption(s) that apply to your filing. If your organization is claiming an exemption under one category (7A or EPTL only filers) or both categories (DUAL filers) that apply to your registration, complete only parts 1, 2, and 3, and submit the certified Char500. No fee, schedules, or additional attachments are required. If you cannot claim an exemption or are a DUAL filer that claims only one exemption, you must file applicable schedules and attachments and pay applicable fees.

☐ 3a. 7A filing exemption: Total contributions from NY State including residents, foundations, government agencies, etc. did not exceed $25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during the fiscal year.

☐ 3b. EPTL filing exemption: Gross receipts did not exceed $25,000 and the market value of assets did not exceed $25,000 at any time during the fiscal year.

4. Schedules and Attachments

See the following page for a checklist of schedules and attachments to complete your filing.

☐ Yes ☐ No 4a. Did your organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? If yes, complete Schedule 4a.

☐ Yes ☐ No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.

5. Fee

See the checklist on the next page to calculate your fee(s). Indicate fee(s) you are submitting here:

7A filing fee: $25

EPTL filing fee: $100

Total fee: $125

Make a single check or money order payable to: "Department of Law".

*The "Exempt" category refers to an organization's NYS registration status. It does not refer to its IRS tax designation.
### Annual Filing Checklist

**Checklist of Schedules and Attachments**

Check the schedules you must submit with your CHAR500 as described in Part 4:
- If you answered "yes" in Part 4a, submit Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
- If you answered "yes" in Part 4b, submit Schedule 4b: Government Grants

Check the financial attachments you must submit with your CHAR500:
- IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable
- All additional IRS Form 990 Schedules, including Schedule B (Schedule of Contributors). Schedule B of public charities is exempt from disclosure and will not be available for public review.
- Our organization was eligible for and filed an IRS 990-N e-postcard. Our revenue exceeded $25,000 and/or our assets exceeded $25,000 in the filing year. We have included an IRS Form 990-EZ for state purposes only.

If you are a 7A only or DUAL filer, submit the applicable independent Certified Public Accountant's Review or Audit Report:
- Review Report if you received total revenue and support greater than $250,000 and up to $750,000.
- Audit Report if you received total revenue and support greater than $750,000
- No Review Report or Audit Report is required because total revenue and support is less than $250,000
- We are a DUAL filer and checked box 3a, no Review Report or Audit Report is required

### Calculate Your Fee

For 7A and DUAL filers, calculate the 7A fee:
- $0, if you checked the 7A exemption in Part 3a
- $25, if you did not check the 7A exemption in Part 3a

For EPTL and DUAL filers, calculate the EPTL fee:
- $0, if you checked the EPTL exemption in Part 3b
- $25, if the NET WORTH is less than $50,000
- $50, if the NET WORTH is $50,000 or more but less than $250,000
- $100, if the NET WORTH is $250,000 or more but less than $1,000,000
- $250, if the NET WORTH is $1,000,000 or more but less than $10,000,000
- $750, if the NET WORTH is $10,000,000 or more but less than $50,000,000
- $1500, if the NET WORTH is $50,000,000 or more

### Send Your Filing

Send your CHAR500, all schedules and attachments, and total fee to:
NYS Office of the Attorney General
Charities Bureau Registration Section
28 Liberty Street
New York, NY 10005

 Confirm your Registration Category and learn more about NY law at www.CharitiesNYS.com.

### Is my Registration Category 7A, EPTL, DUAL or EXEMPT?

Organizations are assigned a Registration Category upon registration with the NY Charities Bureau:

- **7A** filers are registered to solicit contributions in New York under Article 7-A of the Executive Law ("7A")
- **EPTL** filers are registered under the Estates, Powers & Trusts Law ("EPTL") because they hold assets and/or conduct activities for charitable purposes in NY.
- **DUAL** filers are registered under both 7A and EPTL.
- **EXEMPT** filers have registered with the NY Charities Bureau and meet conditions in Schedule E - Registration Exemption for Charitable Organizations. These organizations are not required to file annual financial reports but may do so voluntarily.

### Where do I find my organization’s NET WORTH?

NET WORTH for fee purposes is calculated on:
- IRS Form 990 Part I, line 22
- IRS Form 990 EZ Part I, line 21
- IRS Form 990 PF, calculate the difference between Total Assets at Fair Market Value (Part II, line 16(c)) and Total Liabilities (Part II, line 23(b)).