

# Virtual NET Cancer Patient Conference

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# Patient M.D.G.

36 y/o male presented with three-year history of constant facial flush, 4-5 “loose stools” daily, R. flank pain, SOB

- Liver biopsy (2012) established metastatic NET WHO Grade 1
- OctreoScan (2012): Somatostatin receptor (SST2R) avid liver, nodal lesions
- Cardiac Echo: (+) tricuspid and (+) pulmonary regurgitation
- Surgery of primary tumor (2013): Dr. James R. Howe
- CT Scan (5/21/2014): 60% liver tumor burden
- S/P four cycles of PRRT (<sup>177</sup>Lu-DOTATATE)
- **Liver Transplant: 9/23/2017**

# Case Report – Pt. M.D.G.

- 36 y/o, M: Carcinoid tumor syndrome with METs to liver

	Pre-Liver Transplant*	Post-Liver Transplant*	3/3/2020
Serotonin	1,975	249	217
CgA	2,111	118	160 (NI < 160)
Pancreastatin	15,251	61	95
NK A	953	28	31
Subst P	1,292	109	198

\* Mean of three values between January 2015 – April 2018

# Neuroendocrine Cells (Specific Characteristics)

- Take up hormone precursors (Tryptophan)
- Synthesize, store, release amines and neuropeptides (serotonin, insulin)
- Express specific receptors and transporters (**SST2A receptors**, norepinephrine transporter)
- Express specific genes - neuropeptides that can predict tumor activity and behavior (**pancreastatin**, Neurokinin A)
- **Can be distinct cytology and CgA/Synaptophysin IHC**

Modified from Karel Pacak, with permission

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# Problems With Neuroendocrine Tumor Therapeutic Intervention(s)

- Decisions made primarily based on the “Gold Standard” CT, MR, Ultrasound demonstration of disease progression
- Both “symptomatic” and “asymptomatic” changes are **subjective** and clinical signs, like art, are often in the eye of the beholder
- Tumor-secreting amines and neuropeptides may be episodic initially and sustained later with tumor progression

# Functioning Neuroendocrine Tumors

## BASIC PRINCIPLES:

- Syndromes and symptoms (e.g., hypoglycemia) are due to sudden or sustained elevations of circulating amines (e.g., serotonin, catecholamine, or neuropeptides [e.g., insulin, VIP]).
- Documentation of elevated amines and neuropeptides should be done whenever possible.

# Biomarkers and Neuroendocrine Tumors

TUMOR	BIOMARKERS
Carcinoid, Sm. Intest (Mid-Gut)	<ul style="list-style-type: none"> <li>• <b>[Serotonin]</b></li> <li>• CgA – Pancreastatin</li> <li>• Neurokinin A</li> <li>• (Substance P)</li> </ul>
Carcinoid, Lung (Fore-Gut)	<ul style="list-style-type: none"> <li>• <b>[CgA]</b> – Pancreastatin</li> <li>• Serotonin (3-5%)</li> <li>• Substance P (?)</li> <li>• PP</li> </ul>
N/E Pancreas (Fore-Gut)  Non-functional (70%)  Functional (30%)	<ul style="list-style-type: none"> <li>• <b>[CgA – Pancreastatin]</b></li> <li>• PP, Calcitonin</li> <li>• Serotonin (?)</li> <li>• Insulin, Gastrin, etc</li> </ul>

# Biomarkers, Regulatory Function, Acute-Chronic Excess

BIOMARKER	FUNCTION*	ACUTE EXCESS	CHRONIC EXCESS
Serotonin	Hormone	Hypotension, Tinnitus, Flush	Diarrhea, Perspiration
Subst P	Neuro-Mod	Flush, Hypotension	Secret Diarrhea
Gastrin	Hormone	Flush, Reflux	Atyp Ulcers, Rugal Thick
Insulin	Hormone	Sympt Hypoglyce	Neuroglycopenia
Glucagon	Hormone	Hyperglycemia	Dermopathy, Wt Loss, DVT
VIP**	Neuro-Mod	Hypotension, Flush	Watery Diarrhea Syndrome
PP†	Hormone	None	None
Somatostatin	Multi-Regul	None/hypoglyce	Fat Malab, Gallstones

\* All functional Tumor Biomarkers are Patho-Hormonal when elevated

\*\* VIP = Vasoactive Intestinal Peptide

† PP – Pancreatic Peptide

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# Serotonin and Carcinoids

- Mid-gut carcinoids are **rich** in serotonin containing granules and are **frequently** associated with carcinoid syndrome
- Foregut carcinoids (stomach, lungs) have **few** serotonin granules
- Hind-gut carcinoids have **very few** serotonin granules
- Pancreatic NETs?

Modified: AC Deacon. *Ann Clin Biochem* 1994;31;215-232

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# Thoughts Regarding Whole Blood Serotonin

- Several commercial, CLIA-approved and College of American Pathology (CAP) approved assays in US
- Positive predictive value of 89% and negative predictive value of 93% of midgut carcinoids (Meijer WG, et al. *Clin Chem* 2000;46:1588)
- Elevated in 96% of mid-gut (ileal) carcinoids (Kema IP, et al. *Clin Chem* 1994; 40:86-95)

# Carcinoid Tumors

## Small Bowel (mid gut)

- **Serotonin** EDTA (Plasma + ascorbic acid)
  - Most sensitive, episodic
  - Collection critical for preservation
  - Commercially available
- **5-HIAA** (5-hydroxy-indoleacetic acid, urine) formed by metabolism of serotonin by monoamine oxidase
  - Almost **NEVER** elevated without liver METs (usually 15-20% burden)
  - Plasma 5-HIAA correlates ( $R=0.8$ ) with urine 5-HIAA

*Pancreas* 2013;42(6):937-43

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# Chromogranin A (CgA)

- Acidic, water soluble, secretory glycoprotein (ng/ml)
- Stored in matrix of secretory granules of nervous and neuroendocrine cells / tumors
- **Cleaved by prohormone convertase 1 (PC-1) to pancreastatin (pg/ml)**
- An accurate “marker” of neuroendocrine tumor burden and metastasis

# Validation of Neurokinin A (NKA) Assays in the U.S. and Europe

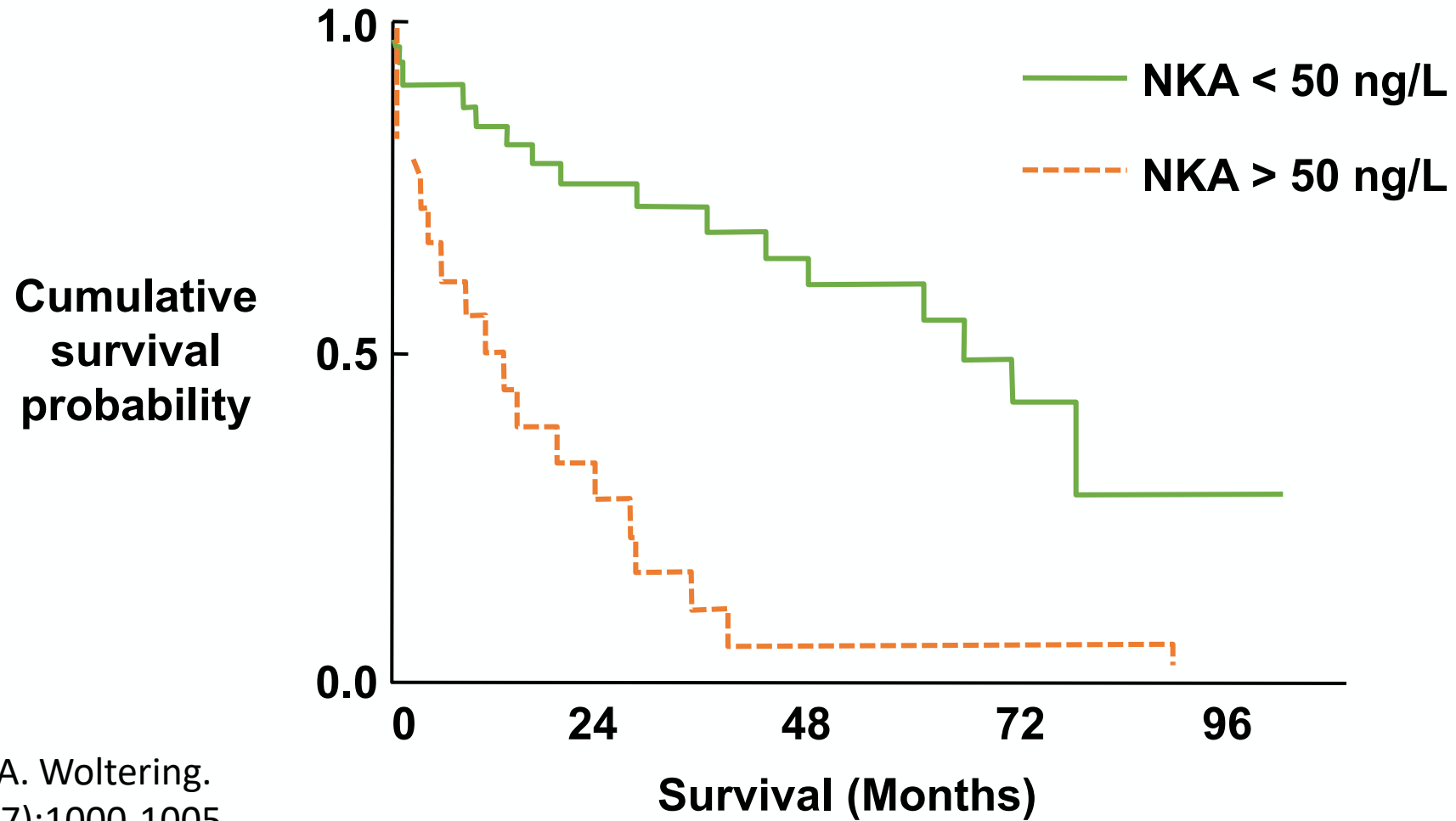
P. Mamikunian, J.E. Ardill, T.M. O'Dorisio...  
E.A. Woltering et al.

*Pancreas* 2011;40(7):1000-1005

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# Kaplan-Meier Survival Curve

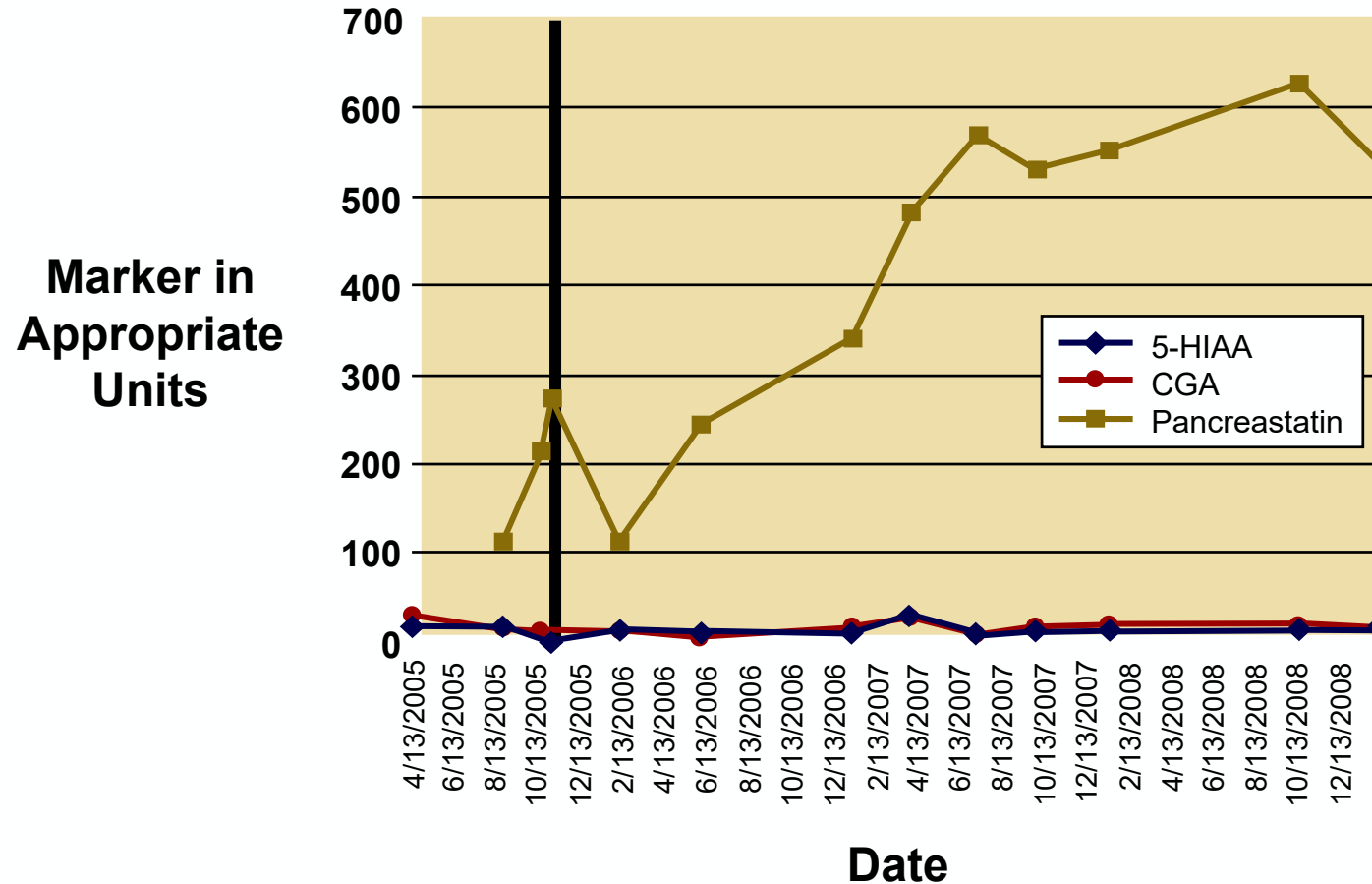


P. Mamikunian...E.A. Woltering.  
*Pancreas* 2011;40(7);1000-1005

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# Sequential Marker Sensitivity of Pancreastatin



TM O'Doriso, et al.  
*Pancreas* 2010;39(5);611-616

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# Pancreastatin Predicts Survival in Neuroendocrine Tumor Patients

- 98 small bowel NETs: 78 pancreatic NETs
- Event times estimated by Kaplan-Meier
- Pre- and postoperative labs for correlation with outcomes
- Multivariant Cox model adjusted for confounders

Sherman SK, Maxwell JE, O'Dorisio MS, O'Dorisio TM,  
Howe JR. *Ann Surg Oncol* 2014; 21:2971

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# Results (1)

*(Ann Surg Oncol 2014; 21:2971)*

- Preoperative serotonin levels significantly associated with progression free survival (PFS) ( $p=0.02$ )
- Postoperative reduction of serotonin by 88 ng/ml or more was significantly associated with PFS ( $p=0.01$ )
- Preoperative CgA and preoperative pancreastatin showed significant correlation with PFS and OS ( $p<0.05$ )

# Results (2)

*(Ann Surg Oncol 2014; 21:2971)*

- Elevated preoperative PAN associated with shorter median PFS and OS vs normal PAN
- PFS 1.7 yrs vs 6.5 yrs vs median not reached
- 5 yr PFS 14.9% (high prePAN: 59% [normal PAN])
- Normalization of post-op pancreastatin significantly improved PFS and OS (3.9 yrs and 100%)
- Elevated post-op pancreastatin, 5 yr PFS dropped to 8.6% and OS decreased to 6.5 yrs

# Conclusion

*(Ann Surg Oncol 2014; 21:2971)*

- Higher pancreastatin levels are significantly associated with worse PFS and OS in SBNETs and PNETs
- Independent of age, primary tumor site, and nodal or metastatic disease

# It Is Time to Rethink Biomarkers for Surveillance of Small Bowel NETs

Tran C., Sherman S., Scott A., Ear P., Chandrasekharan C.,  
Belizzi A., Dillon J., O'Dorisio T., Howe, J.

*Annals of Surgical Oncology* 2020

<https://doi.org/10.1245/s10434-020-08784-0>

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# Subjects and Methods

*Ann Surg Oncol.* 2020. C. Tran

- 218 small bowel NETs (92% nodal; 73% metastatic)
- Biomarkers: Serotonin (SER), CgA, NKA, Pancreastatin (PAN)

Assessed as categorical (Normal or Elevated) and continuous variable

- Progression Free Survival (PFS) and Overall Survival (OS) via Kaplan-Meier models adjusted for confounders
- Serial CT/MR imaging confirmed progression

# Results

*Ann Surg Oncol.* 2020. C. Tran

- High CgA, PAN, NKA, SER correlated with higher grade and metastatic disease at presentation ( $p < 0.05$ )
- Higher levels pre and post surgery of CgA, PAN, NKA, SER correlated with LOWER PFS and OS (Median F/U 4 yrs)
- Using Biomarkers to determine progression:
  - **PAN showed superiority with 79% accuracy** vs CgA (63% accuracy) or PAN + CgA (60% accuracy)

# Conclusion

*Ann Surg Oncol.* 2020. C. Tran

- During long-term F/U, PAN accurately detected progression
- **PAN should replace CgA for small bowel surveillance**

# **Elevated Serum Pancreastatin is an Indicator of Hepatic Metastasis in Patients with Small Bowel Neuroendocrine Tumors**

T.M. Khan, M. Gary, R. Warner, J.H. Uh, C.M. Divine

*Pancreas*, 2015; 45:1032-1035

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# Patients and Methods

77 Patients Retrospective: 44 (57%) Primary small bowel  
49 (64%) Metastasis to liver

Metastatic Markers: Pancreastatin (PAN) and CgA  
Sensitivity (%), Specificity (%)  
Positive (%)/Negative (%) Predictive Value (PV)

## Results

PAN	87% Sensitivity	(+) PV = 71%	(-) PV = 83%
CgA	62% Sensitivity	(+) PV = 64%	(-) PV = 41%

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# Conclusion

## ELEVATED SERUM PANCREASTATIN:

Sensitive and specific assay for detecting incidence of metastatic small bowel NETs

Routine measurement of PAN in small bowel NETs is supported

# Biomarkers

- CgA levels can reflect total tumor burden (when metastatic) for both pancreatic and mid-gut (ileal) N/E tumors
- Neurokinin A is a **predictor** for aggressive mid-gut (ileal) tumors
- Pancreastatin may be a very **early** marker for liver tumor activity and predicts **PFS, OS, and Progression**

# Reference Laboratories in the United States

ARUP, Quest, MAYO, LabCorp, Viracor, Inter Science Institute (ISI),  
Cambridge Lab, OSU-URL

- **ALL** CLIA (Clinical Laboratory Improvement Act) accredited
- **ALL** CAP (College of American Pathologists) accredited

**Serotonin:** ARUP, Quest, LabCorp

**CgA:** ARUP, Quest, MAYO, LabCorp, ISI, Cambridge (?)

**Pancreastatin:** ISI (published), URL (published), Cambridge (published)

**NkA:** ISI (published), Cambridge (?)

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