

# Overview of Results of Peptide Receptor Radionuclide Therapy with 3 Radiolabeled Somatostatin Analogs

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A new treatment modality for inoperable or metastasized gastroenteropancreatic tumors is the use of radiolabeled somatostatin analogs. Initial studies with high doses of [<sup>111</sup>In-diethylenetriaminepentaacetic acid (DTPA)<sup>0</sup>]octreotide in patients with metastasized neuroendocrine tumors were encouraging, although partial remissions were uncommon. Another radiolabeled somatostatin analog that is used for peptide receptor radionuclide therapy (PRRT) is [<sup>90</sup>Y-1,4,7,10-tetraazacyclododecane-*N,N',N'',N'''*-tetraacetic acid (DOTA)<sup>0</sup>,Tyr<sup>3</sup>]octreotide (OctreoTher). Various phase 1 and phase 2 PRRT trials have been performed with this compound. Despite differences in the protocols used, complete and partial remissions in most of the studies with [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide were in the same ranges, 10%–30%; these ranges were higher than those obtained with [<sup>111</sup>In-DTPA<sup>0</sup>]octreotide. Treatment with the newest radiolabeled somatostatin analog, [<sup>177</sup>Lu-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate, which has a higher affinity for the subtype 2 somatostatin receptor, resulted in complete or partial remissions in 30% of 76 patients. Tumor regression was positively correlated with a high level of uptake on OctreoScan imaging, a limited hepatic tumor mass, and a high Karnofsky performance score. Treatment with radiolabeled somatostatin analogs is a promising new tool in the management of patients with inoperable or metastasized neuroendocrine tumors. Symptomatic improvement may occur with all <sup>111</sup>In-, <sup>90</sup>Y-, or <sup>177</sup>Lu-labeled somatostatin analogs that have been used for PRRT. The results obtained with [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide and [<sup>177</sup>Lu-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate are very encouraging in terms of tumor regression. Also, if kidney protective agents are used, the side effects of this therapy are few and mild, and the duration of the therapy response for both radiopharmaceuticals is more than 2 y. These data compare favorably with those for the limited number of alternative treatment approaches.

**Key Words:** somatostatin; somatostatin receptor; radionuclide therapy; gastroenteropancreatic tumors

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**N**euroendocrine gastroenteropancreatic (GEP) tumors, which comprise pancreatic islet cell tumors, nonfunctioning neuroendocrine pancreatic tumors, and carcinoids, are usually slow growing. When these tumors have metastasized, treatment with somatostatin analogs results in reduced hormonal overproduction and symptomatic relief in most cases. Treatment with somatostatin analogs, whether or not in combination with  $\alpha$ -interferon, however, is seldom successful in terms of CT- or MRI-assessed tumor size reduction (1).

A new treatment modality for inoperable or metastasized GEP tumors is the use of radiolabeled somatostatin analogs. The majority of GEP tumors possess somatostatin receptors and therefore can be visualized in vivo with the radiolabeled somatostatin analog [<sup>111</sup>In-diethylenetriaminepentaacetic acid (DTPA)<sup>0</sup>]octreotide (OctreoScan; Mallinckrodt Medical). A logical extension of this tumor visualization in patients therefore is to try to treat these patients with radiolabeled somatostatin analogs.

## STUDIES WITH [<sup>111</sup>IN-DTPA<sup>0</sup>]OCTREOTIDE

Initial studies with high doses of [<sup>111</sup>In-DTPA<sup>0</sup>]octreotide in patients with metastasized neuroendocrine tumors were encouraging, although partial remissions (PRs) were uncommon. Two of 26 patients who had GEP tumors, who were treated with high doses of [<sup>111</sup>In-DTPA<sup>0</sup>]octreotide, and who received total cumulative dosages of more than 20 GBq (550 mCi) had decreases in tumor size of 25%–50%, as measured on CT scans (2). None, however, had PRs

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T1 (Table 1). In another study of patients with GEP tumors, PRs were reported in 2 of 26 patients with measurable disease (Table 1) (3). Both series included relatively high numbers of patients who were in poor clinical condition on study entry. Also, many had progressive disease (PD) on study entry. The most common type of toxicity in both series was bone marrow suppression (Table 2). Serious side effects consisted of leukemia and myelodysplastic syndrome (MDS) in 3 patients who had been treated with total cumulative dosages of >100 GBq (2.7 Ci) (and an estimated bone marrow radiation dosage of about 3 Gy) (2). One of these patients had also been treated with chemotherapy, which may have contributed to or caused this grave complication. Anthony et al. (3) reported renal insufficiency in 1 patient; this effect probably was not treatment related but likely was attributable to preexisting retroperitoneal fibrosis. Transient liver toxicity was observed in 3 patients with widespread liver metastases. Although in both series favorable effects on symptomatology were reported, CT-assessed tumor regression was observed only in rare cases. This finding is not surprising, as <sup>111</sup>In-coupled peptides are not ideal for peptide receptor radionuclide radiotherapy (PRRT) because of the small particle range and therefore the lower tissue penetration range.

### STUDIES WITH [<sup>90</sup>Y-DOTA<sup>0</sup>,TYR<sup>3</sup>]OCTREOTIDE

Another radiolabeled somatostatin analog that is used for PRRT is [<sup>90</sup>Y-1,4,7,10-tetraazacyclododecane-N,N',N'',N'''-tetraacetic acid (DOTA)<sup>0</sup>,Tyr<sup>3</sup>]octreotide (OctreoTher; Novartis). Various phase 1 and phase 2 PRRT trials have been performed with this compound.

Otte et al. (4) and Waldherr et al. (5,6) reported on various phase 1 and phase 2 studies in patients with neuroendocrine GEP tumors. In their first reports, with a dose-escalating scheme of 4 treatment sessions up to a cumulative dosage of 6 GBq (160 mCi)/m<sup>2</sup> and without renal protection with amino acid infusion for half of the patients, renal insufficiency developed in 4 of 29 patients (Table 2). The overall response rate in GEP tumor patients who were

treated either with 6 GBq (160 mCi)/m<sup>2</sup> (5) or, in a later study, with 7.4 GBq (200 mCi)/m<sup>2</sup> in 4 doses (6), was 24% (Table 1). In a subsequent study, with the same dosage of 7.4 GBq (200 mCi)/m<sup>2</sup> administered in 2 sessions, complete remission (CRs) and PRs were found in 33% of 36 patients (Table 1) (7). It should be emphasized, however, that this trial was not a randomized trial comparing 2 dosage schemes.

Chinol et al. (8) described dosimetric and dose-escalating studies with [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide with and without the administration of kidney-protective agents. No major acute reactions were observed up to an administered dose of 5.6 GBq (150 mCi) per cycle. Reversible grade 3 hematologic toxicity was found in 43% of patients injected with 5.2 GBq (140 mCi), which was defined as the maximum tolerated dose per cycle. None of the patients developed acute or delayed kidney nephropathy, although follow-up was short. PRs and CRs were reported by the same group for 28% of 87 patients with neuroendocrine tumors (9).

In a more detailed publication, Bodei et al. (10) reported the results of a phase 1 study of 40 patients with somatostatin receptor-positive tumors, of whom 21 had GEP tumors. Cumulative total treatment dosages ranged from 5.9 to 11.1 GBq (from 160 to 300 mCi) given in 2 treatment cycles. Six of 21 patients (29%) had tumor regression (Table 1). The median duration of the response was 9 mo.

Another study with [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide was a multicenter phase 1 study performed in Rotterdam, The Netherlands; Brussels, Belgium; and Tampa, Florida, in which 60 patients received escalating doses of up to 14.8 GBq (400 mCi)/m<sup>2</sup> in 4 cycles or up to 9.3 GBq (250 mCi)/m<sup>2</sup> in a single dose, without the maximum tolerated single dose being reached (11). The cumulative radiation dosage to the kidneys was limited to 27 Gy. All patients received amino acids concomitantly with [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide for kidney protection. Three patients had dose-limiting toxicity: 1 had liver toxicity, 1 had grade 4 thrombocytopenia (<25 × 10<sup>9</sup>/L), and 1 had MDS. Four of 54 patients (8%) who received their maximum allowed dose

**TABLE 1**  
Tumor Responses in Patients with GEP Tumors and Treated with Various Radiolabeled Somatostatin Analogs

Center (reference)	Ligand	No. of patients	Tumor response					
			CR*	PR*	MR*	SD*	PD*	CR + PR†
Rotterdam (2)	[ <sup>111</sup> In-DTPA <sup>0</sup> ]octreotide	26	0	0	5 (19)	11 (42)	10 (38)	0
New Orleans (3)	[ <sup>111</sup> In-DTPA <sup>0</sup> ]octreotide	26	0	2 (8)	NA	21 (81)	3 (12)	8
Milan (10)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	21	0	6 (29)	NA	11 (52)	4 (19)	29
Basel (5,6)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	74	3 (4)	15 (20)	NA	48 (65)	8 (11)	24
Basel (7)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	33	2 (6)	9 (27)	NA	19 (57)	3 (9)	33
Rotterdam (11)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	54	0	4 (7)	7 (13)	33 (61)	10 (19)	7
Rotterdam (18)	[ <sup>177</sup> Lu-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotate	76	1 (1)	22 (29)	9 (12)	30 (39)	14 (18)	30

\*Reported as number (percentage) of patients. SD = stable disease; NA = not available.

†Reported as percentage of patients.

**TABLE 2**

Side Effects in Patients with GEP Tumors and Treated with Various Radiolabeled Somatostatin Analogs

Center (reference)	Ligand	No. of patients	Toxicity			
			Grade 3 or 4 hematologic*			Other†
			Platelets	Hb	WBC	
Rotterdam (2)	[ <sup>111</sup> In-DTPA <sup>0</sup> ]octreotide	50	10	15	2	3 AML or MDS
New Orleans (3)	[ <sup>111</sup> In-DTPA <sup>0</sup> ]octreotide	27	7	11	7	3 liver, 1 renal
Milan (10)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	40	7	3	7	
Basel (4)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	29	3	7	0	4 renal‡
Basel (6)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	39	0	3	0	1 renal
Rotterdam (11)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	60	12	8	13	1 MDS, 1 liver, 1 renal
Rotterdam (18)	[ <sup>177</sup> Lu-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotate	200	3	1	2	1 MDS, 1 renal

\*Reported as percentage of patients. Hb = hemoglobin; WBC = white blood cells.  
 †Reported as number of patients with indicated type of toxicity. AML = acute myeloid leukemia.  
 ‡No amino acid infusion in half of patients.

had PRs, and 7 patients (13%) had minor responses (MRs) (25%–50% tumor volume reduction) (Table 1). The median time to progression in the 44 patients who had stable disease, MRs, or PRs was 30 mo.

Bushnell et al. (12) reported a favorable clinical response, as determined by a scoring system that included weight, a patient-assessed health score, a Karnofsky performance score, and tumor-related symptoms, for 14 of 21 patients who were treated with a total cumulative dosage of 13.3 GBq (360 mCi) of [<sup>90</sup>Y-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotide in 3 treatment cycles.

Despite differences in the protocols used, CRs and PRs in most of the studies with [<sup>90</sup>Y-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotide were in the same ranges, 10%–30%; these ranges were higher than those obtained with [<sup>111</sup>In-DTPA<sup>0</sup>]octreotide.

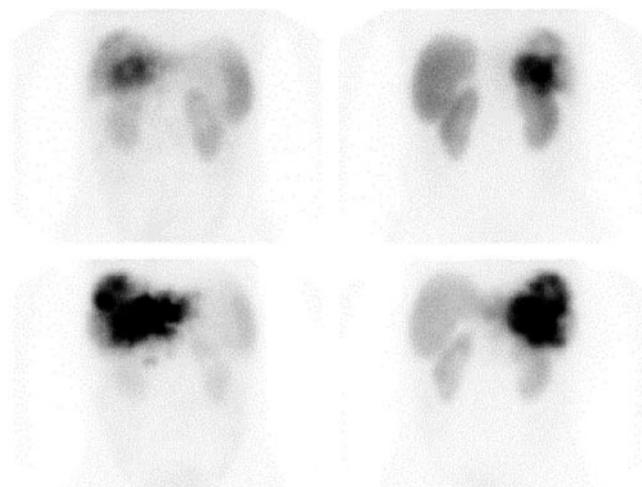
**STUDIES WITH [<sup>177</sup>LU-DOTA<sup>0</sup>, TYR<sup>3</sup>]OCTREOTATE**

The somatostatin analog [DTPA<sup>0</sup>, Tyr<sup>3</sup>]octreotate differs from [DTPA<sup>0</sup>, Tyr<sup>3</sup>]octreotide only in that the C-terminal threoninol is replaced with threonine. Compared with [DTPA<sup>0</sup>, Tyr<sup>3</sup>]octreotide, it shows improved binding to somatostatin receptor–positive tissues in animal experiments (13). Also, its DOTA-coupled counterpart, [DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate, labeled with the β- and γ-particle–emitting radionuclide <sup>177</sup>Lu, was reported to be very successful in terms of tumor regression and animal survival in a rat model (14). Reubi et al. (15) reported a 9-fold increase in the affinity for the subtype 2 somatostatin receptor of [DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate compared with [DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotide and a 6- to 7-fold increase in affinity in a similar comparison of their yttrium-loaded counterparts.

In a comparison in patients, it was found that the uptake of radioactivity, expressed as a percentage of the dose of [<sup>177</sup>Lu-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate injected, was comparable to that after [<sup>111</sup>In-DTPA<sup>0</sup>]octreotide injection in the kidneys, spleen, and liver but was 3- to 4-fold higher in 4 of 5 tumors (Fig. 1) (16). Therefore, [<sup>177</sup>Lu-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate po-

tentially represents an important improvement because of the higher absorbed doses that can be achieved for most tumors with about equal doses to potentially dose-limiting organs and because of the lower tissue penetration range of <sup>177</sup>Lu than of <sup>90</sup>Y, a feature that may be especially important for small tumors.

The first treatment effects of [<sup>177</sup>Lu-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate therapy were described for 35 patients who had neuroendocrine GEP tumors and who had a follow-up at 3–6 mo after receiving the final dose (17). Patients were treated with 3.7, 5.6, or 7.4 GBq (100, 150, or 200 mCi) of [<sup>177</sup>Lu-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate, up to a final cumulative dos-



**FIGURE 1.** Anterior abdominal images in a patient with liver metastases from a neuroendocrine pancreatic tumor. (Upper row) OctreoScan images 24 h after injection of 222 MBq (6 mCi) of [<sup>111</sup>In-DTPA<sup>0</sup>]octreotide; anterior (left) and posterior (right) abdominal views are shown. (Lower row) Posttherapy scans 1 d after injection of 7.4 GBq (200 mCi) of [<sup>177</sup>Lu-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate. Note the higher uptake in the metastases after [<sup>177</sup>Lu-DOTA<sup>0</sup>, Tyr<sup>3</sup>]octreotate injection. Physiologic uptake in the liver, spleen, and kidneys was seen on all scans.

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**TABLE 3**  
Tumor Responses According to Tumor Type in Patients with GEP Tumors and Treated with Various Radiolabeled Somatostatin Analogs

Center (reference)	Ligand	Tumor response (CR + PR)*					
		EPT		Carcinoid		Unknown origin	Total
		Functioning	Total	Gastrointestinal	Bronchial		
Basel (5,6)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	NA	37 (27)	5 (20)	20 (10)	29 (17)	24 (74)
Basel (7)	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	NA	33 (18)	25 (4)	0	36 (11)	33 (33)
Milan <sup>†</sup>	[ <sup>90</sup> Y-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotide	63 (16)	51 (45)	35 (40)	14 (14)	21 (14)	38 (113)
Rotterdam (18)	[ <sup>177</sup> Lu-DOTA <sup>0</sup> , Tyr <sup>3</sup> ]octreotate	71 (7)	36 (28)	20 (35)	100 (1)	42 (12)	30 (76)

\*Reported as percentage (number) of patients. EPT = endocrine pancreatic tumor; NA = not available.

<sup>†</sup>G. Paganelli, written communication, April 2004.

age of 22.2–29.6 GBq (600–800 mCi), at treatment intervals of 6–9 wk.

The effects of the therapy on tumor size could be evaluated for 34 patients. At 3 mo after the final administration, CR was found in 1 patient (3%), PRs were found in 12 patients (35%), stable disease was found in 14 patients (41%), and PD was found in 7 patients (21%), including 3 patients who died during the treatment period. The side effects of therapy with [<sup>177</sup>Lu-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate were few and mostly transient, with mild bone marrow suppression being the most common finding.

In a more recent update of this treatment in 76 patients with GEP tumors (18), CR was found in 1 patient (1%), PRs were found in 22 patients (29%), MRs were found in 9 patients (12%), stable disease was found in 30 patients (40%), and PD was found in 14 patients (18%) (Table 1). Six of 32 patients who had initially stable disease or tumor regression after therapy and who were also evaluated after 12 mo (mean from the start of therapy, 18 mo) had PD; in the other 26 patients, the tumor response was unchanged. The median time to progression was not reached at 25 mo from the start of therapy. Serious side effects in the entire group of patients who had been treated or who were being treated up to that time consisted of MDS in a patient who had had chemotherapy with alkylating agents 2 y before study entry and renal insufficiency in another patient who had had unexplained rises in serum creatinine concentrations in the year preceding the start of therapy and who had a urinary creatinine clearance of 41 mL/min at study entry.

Tumor regression was positively correlated with a high level of uptake on OctreoScan imaging, a limited hepatic tumor mass, and a high Karnofsky performance score.

### COMPARISON OF VARIOUS TREATMENTS

Treatment with radiolabeled somatostatin analogs is a promising new tool in the management of patients with inoperable or metastasized neuroendocrine tumors. The results obtained with [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide and [<sup>177</sup>Lu-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate are very encouraging, although a direct, randomized comparison of the various treatments is

lacking. Also, the reported percentages of tumor remission after [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide treatment vary, for several possible reasons. The administered doses and dosage schemes differ; some studies use dose-escalating schemes, whereas others use fixed doses. Alternatively, several patient and tumor characteristics determine treatment outcome; these include amount of uptake on OctreoScan imaging, estimated total tumor burden, and extent of liver involvement. Therefore, differences in patient selection may play an important role in determining treatment outcome.

This situation can be illustrated with 3 examples. In the combined data from 2 studies by Waldherr et al. (5,6) with 4 cycles of [<sup>90</sup>Y-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide treatment, the tumor response rate (CRs and PRs) was 24%. In their report on 33 patients to whom they administered 2 cycles of treatment, the response rate was 33% (Table 1) (7). In their first series of patients, 30 of 74 had either intestinal or bronchial carcinoids, which showed a response rate of 10%, whereas the other patients had either endocrine pancreatic tumors or neuroendocrine tumors of unknown origin, which regressed after therapy in 34%. In their second series, the response rates for endocrine pancreatic tumors and neuroendocrine tumors of unknown origin were the same (10/29 patients, or 34%), but because only 4 patients with carcinoids were included, the results seemed much more promising (Table 3). In a series of patients treated with [<sup>177</sup>Lu-DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate, the response rate reported for the first group of 34 patients was 38% (17); in a later update with 76 patients, the response rate fell to 30% (18). Much of this change can be accounted for by the fact that in the first report, 3 gastrinoma patients (9% of total) who all had PRs were included, whereas in the later update, 5 gastrinoma patients (7% of total) who had PRs were included. Also, the relatively high percentage of tumor regression reported by the Milan group (G. Paganelli, written communication, April 2004) can be explained mainly by the large number of patients with endocrine pancreatic tumors whom they treated (Table 3). Other factors that may have contributed to the different results that were found in trials with the same compounds at various centers include differences in tumor

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response criteria and centralized versus decentralized follow-up CT scoring. Therefore, to establish which treatment scheme and which radiolabeled somatostatin analog or combination of analogs is optimal, randomized trials are needed.

### OPTIONS FOR IMPROVING PRRT

From animal experiments it can be inferred that  $^{90}\text{Y}$ -labeled somatostatin analogs may be more effective for larger tumors, whereas  $^{177}\text{Lu}$ -labeled somatostatin analogs may be more effective for smaller tumors, but their combination may be the most effective (19). Therefore, in addition to comparisons between radiolabeled octreotate and octreotide and between somatostatin analogs labeled with  $^{90}\text{Y}$  or  $^{177}\text{Lu}$ , PRRT with combinations of  $^{90}\text{Y}$ - and  $^{177}\text{Lu}$ -labeled analogs should be evaluated.

In addition to combinations of analogs labeled with various radionuclides, future directions for improving this type of therapy should include efforts to upregulate somatostatin receptor expression on tumors as well as studies of the effects of the use of radiosensitizers.

### CONCLUSION

Treatment with radiolabeled somatostatin analogs is a promising new tool in the management of patients with inoperable or metastasized neuroendocrine tumors. Symptomatic improvement may occur with all  $^{111}\text{In}$ -,  $^{90}\text{Y}$ -, or  $^{177}\text{Lu}$ -labeled somatostatin analogs that have been used for PRRT. The results obtained with [ $^{90}\text{Y}$ -DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotide and [ $^{177}\text{Lu}$ -DOTA<sup>0</sup>,Tyr<sup>3</sup>]octreotate are very encouraging in terms of tumor regression. Also, if kidney-protective agents are used, the side effects of this therapy are few and mild, and the duration of the therapy response for both radiopharmaceuticals is more than 2 y. These data compare favorably with those for the limited number of alternative treatment approaches.

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